



OASIS COLLECTIVE INVESTMENT SCHEMES

REDEMPTION

Company Reg. No. 1997/04764/06
 Management Company No 24

INVESTOR DECLARATIONS

(Investor to specify the agreed to PERCENTAGE fee, excluding VAT)

The initial advice fees are payable to the IFA by the Management Company on behalf of the Investor. The Management Company will ensure that the initial advice fee is paid when the initial investment or transfer amount in respect of the Investor is received by the Management Company, and thereafter the annual advice fee will be paid by the way of realizing units from the investment portfolio of the Investor. I confirm that the Financial Advisor is my nominated IFA and agree that the following fee is payable.

Fee Type	Financial Advisor	Agreed Fee
Initial	Maximum 3% deducted prior to each investment being made. Where ongoing fee is greater than 0.5% then initial fee is limited to 1.5%.	
Ongoing	Maximum 1% per annum of the investment account. Where the initial fee is more than 1.5% then the maximum ongoing fee is 0.5%.	

I hereby indemnify the Administrator for acting on instructions provided by phone, fax or email:

Y	N
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- I confirm that the Financial Advisor has been appointed by me.
- I warrant that the information contained herein is true, correct and complete;
- I have attained the age of majority in terms of the law applicable to me and that there are no legal restrictions preventing me from entering into this agreement without the consent of my parent/legal guardian;
- I have the necessary authority to sign this application in a principal capacity, or a representative capacity and do so within my power granted by my principal;
- I hereby permit the Management Company to conduct any investigation to verify that the information and documentation included in/with this application is correct, and in the case where such investigation results in conflicting information, that the Management Company is obliged to report the transaction as a suspicious transaction to the relevant authorities;
- I understand that it is my obligation to familiarise myself with, and accept the risks associated with this investment;
- I confirm that the information about the product, investment objective and risk factors have been provided and disclosed to me by my Financial Advisor and that any other additional information that I have required, has been provided;
- I have read understood and agree to the Terms and Conditions, Performance Fee FAQ's and Fund Summary;
- I confirm that this application, in conjunction with the Terms and Conditions constitutes the entire, and binding, agreement with the Management Company and myself; and can be amended from time to time on receipt and acceptance by the Management Company, of further instructions duly completed by the Investor and / or the authorized representative;
- I hereby acknowledge that I have fully acquainted myself with and I have read, understood and accepted the fees, charges and expenses that are to be levied, in terms of this application;
- I hereby confirm that the details contained in this application, are those of my appointed Financial Advisor, and agreement has been reached for payment of the fees as set out in this application;
- I understand that the Management Company will only accept instructions, from a Financial Advisor or Third Party, if authorised by myself in writing;
- I confirm that the information pertaining to my account (including duplicate statements, valuations and other information that may be required from time to time) may be released, electronically or in hard copy, to my appointed Financial Advisor;
- I have not received advice from the Management Company;
- I warrant that in respect of this investment I have not contravened any anti-money laundering legislation and regulations applicable to me;
- I permit the Management Company to pass on my information to a third party, for marketing and market research purposes;
- I hereby waive any claim, of whatsoever nature, I may have against the Management Company, in future, relating to or arising out of the investment/s described in this application form, save insofar as it arises from dishonesty, theft or gross negligence of the company's employees, agents of representatives.

FICA documents for all individuals in this application form.

- Clear copy of bar coded identity document certified by a Commissioner of Oaths.
- Proof of residential address (utility bill, bank statement) not more than 3 months old.
- Copy of bank statement/cancelled cheques (for proof of bank account).
- Proof of tax registration (front page of tax return or correspondence with SARS)

Y	N
Y	N
Y	N
Y	N

Individual Investor Check List

- Fully completed application form.
- FICA of principle investor / joint investor/ person assisting/ beneficiaries.
- Proof of deposit of any lump sum investments.
- Power of attorney - if applicable.

Y	N
Y	N
Y	N
Y	N

FICA DOCUMENTS FOR ALL CORPORATE ENTITIES IN THIS APPLICATION FORM.	Trust	Company	CC
Certified Copies of CM1, CM9 and CM22		X	
List of authorised signatories	X	X	X
Certified Copy of Barcoded Identity document for each authorised signatory	X	X	X
Shareholders with more than 25%			
Certified Copy of Barcoded Identity document		X	
Trust Name and Number	X		
CM1, CM9 and CM22		X	
Proof of Income tax registration		X	
Proof of VAT registration		X	X
Certified Copies of CK1, CK2, and CK2A			X
Certified copy of Trust Deed - stamped by Master of High Court	X		

REQUIRED FICA DOCUMENTATION FOR CORPORATE ENTITIES	Trust	Company	CC
Address of Master of High Court where Trust registered	X		
List of Trustees	X		
Certified copy of Letter of Trusteeship (for all Trustees)	X		
Proof of VAT registration	X		
Trust Founder			
Certified Copy of Barcoded Identity document	X		
Contact address	X		
Telephone email	X		
Trust Beneficiaries			
Certified Copy of Barcoded Identity document	X		
Contact address	X		
Telephone email	X		



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Company Reg. No. 1997/04764/06
 Management Company No 24

Signature of Investor / Representative 1

D D M M Y Y Y Y

Signature of Representative 3

D D M M Y Y Y Y

Signature of Person Assisting / Representative 2

D D M M Y Y Y Y

Signature of Representative 4

D D M M Y Y Y Y

CHECK LIST FOR CORPORATE ENTITIES	YES	NO
Fully completed application form.		
FICA of principle investor & representatives & person assisting		
Proof of deposit of any lump sum investments.		
Power of attorney - if applicable.		

Capacity of Person Assisting

Captured Name

Signature

D D M M Y Y Y Y

FOR OFFICIAL USE

Authorised Name

Signature

D D M M Y Y Y Y